## **Chapter 3**

# Semi-infinite cohomology

In this chapter, we develop an adjusted version of semi-infinite cohomology which will be used to define affine W-algebras in Chapter 4. The main results of this chapter are contained in [He17a].

### 3.1 A brief review of Lie algebra cohomology

Let L be a complex Lie algebra and M be an L-module. The space of n-cochains (or n-forms) with coefficients in M is the space  $C^n(L, M) := \operatorname{Hom}_{\mathbb{C}}(\Lambda^n L, M)$ , where  $\Lambda^n L$  is the n-th exterior power of L. Given an n-cochain  $f \in \operatorname{Hom}_{\mathbb{C}}(\Lambda^n L, M)$ , the coboundary of f is the (n + 1)-cochain  $\delta f$ , defined to be

$$(\delta f)(x_1, \cdots, x_{n+1}) = \sum_{i=1}^{n+1} (-1)^i x_i \cdot f(x_1, \cdots, \hat{x}_i, \cdots, x_{n+1}) + \sum_{1 \le i < j \le n+1} (-1)^{i+j} f([x_i, x_j], x_1, \cdots, \hat{x}_i, \cdots, \hat{x}_j, \cdots, x_{n+1}), \quad (3.1)$$

where  $\hat{x}_i$  means that the term  $x_i$  is omitted and  $\cdot$  is the Lie algebra action on M. One can show by straightforward calculations that  $\delta^2 = 0$ , hence we have a complex  $(C^{\bullet}(L, M), \delta)$ .

**Definition 3.1.1.** The complex  $(C^{\bullet}(L, M), \delta)$  is called the *Chevalley-Eilenberg cochain complex* and its cohomology is called the *cohomology of* L with coefficients in M.

Let  $L^* = \operatorname{Hom}_{\mathbb{C}}(L, \mathbb{C})$  be the dual of L. Assume that L is finite-dimensional, while  $\{e_1, \dots, e_d\}$  and  $\{e_1^*, \dots, e_d^*\}$  are well-ordered dual bases of L and  $L^*$ , respectively, in the sense that  $\langle e_i^*, e_j \rangle = \delta_{i,j}$ . One can identify  $\operatorname{Hom}_{\mathbb{C}}(\Lambda^n L, M)$  with  $\Lambda^n L^* \otimes M$  by considering  $e_{i_1}^* \wedge \dots \wedge e_{i_n}^* \otimes m$  as the *n*-cochain sending  $e_{j_1} \wedge \dots \wedge e_{j_n}$  to  $\det(\langle e_{i_k}^*, e_{j_\ell} \rangle)_{1 \leq k, \ell \leq n} m$ . If we assume that in the above expressions we have  $i_1 < \dots < i_n$  and  $j_1 < \dots < j_n$ , then

$$(e_{i_1}^* \wedge \dots \wedge e_{i_n}^* \otimes m)(e_{j_1} \wedge \dots \wedge e_{j_n}) = \begin{cases} m & \text{if } i_1 = j_1, \dots, i_n = j_n, \\ 0 & \text{otherwise.} \end{cases}$$

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The Clifford algebra  $Cl(L \oplus L^*)$  is the associative algebra generated by  $\{\iota(e_i), \varepsilon(e_i^*)\}_{1 \le i \le d}$ , with relations:

$$\iota(e_i)\iota(e_j) + \iota(e_j)\iota(e_i) = \varepsilon(e_j^*)\varepsilon(e_i^*) + \varepsilon(e_i^*)\varepsilon(e_j^*) = 0 \text{ and } \iota(e_i)\varepsilon(e_j^*) + \varepsilon(e_j^*)\iota(e_i) = \delta_{i,j}.$$
(3.2)

The Clifford algebra  $Cl(L \oplus L^*)$  acts on  $\Lambda^{\bullet}L^* = \bigoplus_{i \ge 0} \Lambda^i L^*$  in the following way:  $\iota(e_i)$  is the contraction operator  $\iota(e_i) : \Lambda^n L^* \to \Lambda^{n-1}L^*$  defined by

$$\iota(e_i) \cdot y_1^* \wedge \dots \wedge y_n^* = \sum_k (-1)^{k+1} \langle y_k^*, e_i \rangle y_1^* \wedge \dots \wedge \hat{y}_k^* \wedge \dots \wedge y_n^*,$$

and  $\varepsilon(e^*_i)$  is the wedging operator  $\varepsilon(e^*_i):\Lambda^nL^*\to\Lambda^{n+1}L^*$  defined by

$$\varepsilon(e_i^*) \cdot y_1^* \wedge \dots \wedge y_n^* = e_i^* \wedge y_1^* \wedge \dots \wedge y_n^*.$$

Straightforward calculations show that these operators  $\iota(e_i)$  and  $\varepsilon(e_i^*)$  satisfy (3.2), so it defines an action of  $Cl(L \oplus L^*)$  on  $\Lambda^{\bullet}L^*$ .

Let

$$\bar{\delta} = \sum_{i} \varepsilon(e_i^*) \otimes e_i - \sum_{i < j} \varepsilon(e_i^*) \varepsilon(e_j^*) \iota([e_i, e_j]) \otimes 1.$$
(3.3)

Then  $\bar{\delta} \in Cl(L \oplus L^*) \otimes U(L)$ , hence it has a well-defined action on  $\Lambda^{\bullet}L^* \otimes M$ .

**Proposition 3.1.2.** The operator  $\overline{\delta}$  defined by (3.3) realizes the operator  $\delta$  defined by (3.1) in the Chevalley-Eilenberg complex.

*Proof.* We need to show that  $\overline{\delta}f = \delta f$  for all  $f \in \Lambda^{\bullet}L^* \otimes M$ . It is clear that both  $\overline{\delta}$  and  $\delta$  map  $\Lambda^n L^* \otimes M$  to  $\Lambda^{n+1}L^* \otimes M$ . Thus we only need to prove that for  $f = e_{i_1}^* \wedge \cdots \wedge e_{i_n}^* \otimes m \in \Lambda^n L^* \otimes M$  and  $\omega = e_{j_1} \wedge \cdots \wedge e_{j_{n+1}} \in \Lambda^{n+1}L$ , we have  $(\overline{\delta}f)(\omega) = (\delta f)(\omega)$ . We assume that  $i_1 < \cdots < i_n$  and  $j_1 < \cdots < j_{n+1}$ . By definition,

$$(\delta f)(\omega) = \sum_{\ell=1}^{n+1} (-1)^{\ell} e_{j_{\ell}} \cdot f(e_{j_1}, \cdots, \hat{e}_{j_{\ell}}, \cdots, e_{j_{n+1}}) + \sum_{1 \le k < \ell \le n+1} (-1)^{k+\ell} f([e_{j_k}, e_{j_{\ell}}], e_{j_1}, \cdots, \hat{e}_{j_k}, \cdots, \hat{e}_{j_{\ell}}, \cdots, e_{j_{n+1}}).$$

Note that

$$\sum_{k} \varepsilon(e_{k}^{*}) \otimes e_{k} \cdot f = \sum_{k} e_{k}^{*} \wedge e_{i_{1}}^{*} \wedge \dots \wedge e_{i_{n}}^{*} \otimes e_{k} \cdot m,$$

and

$$\begin{aligned} (e_k^* \wedge e_{i_1}^* \wedge \dots \wedge e_{i_n}^* \otimes e_k \cdot m)(\omega) \\ &= \begin{cases} (-1)^{\ell} e_{j_{\ell}} \cdot f(e_{j_1}, \dots, \hat{e}_{j_{\ell}}, \dots, e_{j_{n+1}}) & \text{ if } k = j_{\ell}, \\ 0 & \text{ if } k \notin \{j_1, \dots, j_{n+1}\}, \end{cases} \end{aligned}$$

so

$$\left(\sum_{k} \varepsilon(e_k^*) \otimes e_k \cdot f\right)(\omega) = \sum_{\ell=1}^{n+1} (-1)^{\ell} e_{j_\ell} \cdot f(e_{j_1}, \cdots, \hat{e}_{j_\ell}, \cdots, e_{j_{n+1}}).$$

Let  $f_{\hat{i}_s} = e_{i_1}^* \wedge \dots \wedge \hat{e}_{i_s}^* \dots \wedge e_{i_n}^* \otimes m$  and  $\omega_{\hat{j}_k, \hat{j}_\ell} = e_{j_1} \wedge \dots \wedge \hat{e}_{j_k} \dots \wedge \hat{e}_{j_\ell} \dots \wedge e_{j_{n+1}}$ . Then  $\varepsilon(e_i^*)\varepsilon(e_j^*)\iota([e_i, e_j]) \otimes 1 \cdot f = \sum_{1 \le s \le n} (-1)^{s+1} \langle e_{i_s}^*, [e_i, e_j] \rangle e_i^* \wedge e_j^* \wedge f_{\hat{i}_s}^*,$ 

and

$$(e_i^* \wedge e_j^* \wedge f_{\hat{i}_s})(\omega) = \begin{cases} (-1)^{k+\ell+1} f_{\hat{i}_s}(\omega_{\hat{j}_k,\hat{j}_\ell}) & \text{if } i = j_k, j = j_\ell, \\ 0 & \text{if } \{i,j\} \nsubseteq \{j_1, \cdots, j_{n+1}\}, \end{cases}$$

so

$$\begin{pmatrix} \sum_{i < j} \varepsilon(e_i^*) \varepsilon(e_j^*) \iota([e_i, e_j]) \otimes 1 \cdot f \end{pmatrix} (\omega) \\ = \sum_{k < \ell} \sum_{1 \le s \le n} (-1)^{s+1} (-1)^{k+\ell+1} \langle e_{i_s}^*, [e_{j_k}, e_{j_\ell}] \rangle f_{\hat{i}_s}(\omega_{\hat{j}_k, \hat{j}_\ell}) \\ = \sum_{k < \ell} (-1)^{k+\ell+1} f([e_{j_k}, e_{j_\ell}] \wedge \omega_{\hat{j}_k, \hat{j}_\ell}) \\ = \sum_{k < \ell} (-1)^{k+\ell+1} f([e_{j_k}, e_{j_\ell}], e_{j_1}, \cdots, \hat{e}_{j_k}, \cdots, \hat{e}_{j_\ell}, \cdots, e_{j_{n+1}}).$$

Now it is clear that  $(\bar{\delta}f)(\omega) = (\delta f)(\omega)$ .

### 3.2 Semi-infinite structure and semi-infinite cohomology

A Lie (super)algebra L is called quasi-finite  $\mathbb{Z}$ -graded if

$$L = \bigoplus_{n \in \mathbb{Z}} L_n$$
 with dim  $L_n < \infty$ , and  $[L_n, L_m] \subseteq L_{m+n}$  for all  $m, n \in \mathbb{Z}$ .

Let

$$L_{\leq 0} := \bigoplus_{n \leq 0} L_n$$
 and  $L_+ := \bigoplus_{n > 0} L_n$ .

The  $\mathbb{Z}$ -grading on L induces a  $\mathbb{Z}_{\leq 0}$ -grading on  $U(L_{\leq 0})$ , a  $\mathbb{Z}_{\geq 0}$ -grading on  $U(L_+)$  and a  $\mathbb{Z}$ -grading on U(L), where  $U(\mathfrak{a})$  is the universal enveloping algebra of the Lie (super)algebra  $\mathfrak{a}$ . By the PBW theorem, as  $L = L_{\leq 0} \oplus L_+$ , their universal enveloping algebras, as vector spaces, are related by

$$U(L) \cong U(L_{\leq 0}) \otimes U(L_{+}).$$

A typical homogeneous element of U(L) is of the form  $\sum_{i=1}^{r} u_i v_i$  with  $u_i \in U(L_{\leq 0}), v_i \in U(L_+)$ and  $\deg(u_i v_i) = \deg(u_j v_j)$  for all i, j.

**Definition 3.2.1.** Let L be a quasi-finite  $\mathbb{Z}$ -graded Lie (super)algebra. The completion  $U(L)^{com}$  of U(L) is the vector space spanned by infinite sums  $\sum_{i=-\infty}^{\infty} u_i v_i$  with  $u_i \in U(L_{\leq 0}), v_i \in U(L_+)$  such that only a finite number of  $v_i$  have degree less than N, i.e.,  $\sharp\{v_i \mid \deg v_i < N\} < \infty$ , for each integer  $N \in \mathbb{Z}_{\geq 0}$ .

Products are well-defined in the completion, which makes  $U(L)^{com}$  into an associative algebra. Obviously, U(L) can be considered as a subalgebra of  $U(L)^{com}$ .

**Definition 3.2.2.** Let L be a quasi-finite  $\mathbb{Z}$ -graded Lie algebra. An L-module M is called *smooth* if for any given  $m \in M$ , we have  $L_n \cdot m = 0$  for  $n \gg 0$ .

**Remark 3.2.3.** One can extend the action of U(L) on a smooth L-module to its completion  $U(L)^{com}$ . Let  $M_1, M_2$  be smooth modules for  $L_1, L_2$ , respectively. Then the tensor product  $M_1 \otimes M_2$  is naturally a smooth  $L_1 \oplus L_2$ -module.

**Definition 3.2.4.** Let  $L_1, L_2$  be two associative or Lie superalgebras, and  $\varphi : L_1 \to L_2$  be an algebra homomorphism. A *superderivation* of parity  $i \in \mathbb{Z}_2$  with respect to  $\varphi$  is a parity-preserving linear map  $D : L_1 \to L_2$  satisfying Leibniz's rule

$$D(u \circ_1 v) = D(u) \circ_2 \varphi(v) + (-1)^{i \cdot p(u)} \varphi(u) \circ_2 D(v)$$

$$(3.4)$$

for all  $u, v \in L_1$  with u homogeneous, where p(u) is the parity of u and  $o_1, o_2$  are the multiplications or Lie brackets of  $L_1, L_2$ , respectively. We call D even if i = 0 and odd if i = 1. When one of  $\{L_1, L_2\}$  is a Lie superalgebra and the other is an associative superalgebra, we consider both of them as Lie superalgebras.

**Remark 3.2.5.** (1) When  $L_1 = L_2 = L$  and  $\varphi = id$ , D is a superderivation of L.

- (2) A superderivation from a Lie superalgebra L to an associative superalgebra A will induce a same-parity superderivation from U(L) to A.
- (3) Let A be an associative superalgebra. Then a superderivation D of A as an associative superalgebra is also a superderivation of A as a Lie superalgebra.
- (4) Let L<sub>1</sub> be generated by a subset S. Then a linear map D : L<sub>1</sub> → L<sub>2</sub> satisfying (3.4) for all u, v ∈ S can be extended uniquely, through Leibniz's rule, to a superderivation from L<sub>1</sub> to L<sub>2</sub>, i.e., a superderivation is completely determined by its value on a generating subset.

#### **3.2.1** Semi-infinite structure

Let  $L = \bigoplus_{n \in \mathbb{Z}} L_n$  be a quasi-finite  $\mathbb{Z}$ -graded Lie algebra, with subalgebras  $L_{\leq 0} = \bigoplus_{n \leq 0} L_n$  and  $L_+ = \bigoplus_{n>0} L_n$ . Let  $\{e_i \mid i \leq 0\}$  and  $\{e_i \mid i > 0\}$  be homogeneous bases of  $L_{\leq 0}$  and  $L_+$ , respectively. Homogeneous means that each  $e_i \in L_m$  for some  $m \in \mathbb{Z}$ . We also require that whenever  $e_i \in L_m$ , we have  $e_{i+1} \in L_m$  or  $e_{i+1} \in L_{m+1}$ . Let  $L^* = \bigoplus_{n \in \mathbb{Z}} L_n^*$  be the restricted dual of L with dual basis  $\{e_i^* \mid i \in \mathbb{Z}\}$  such that  $\langle e_i^*, e_j \rangle = \delta_{i,j}$ , where  $L_n^* := \operatorname{Hom}_{\mathbb{C}}(L_{-n}, \mathbb{C})$ .

**Definition 3.2.6.** The space  $\Lambda^{\infty/2+\bullet}L^*$  of *semi-infinite forms* on *L* is the vector space spanned by infinite wedge products of  $L^*$ , i.e.,

$$\omega = e_{i_1}^* \wedge e_{i_2}^* \wedge \cdots$$

for which there exists an integer  $N(\omega)$  such that for all  $k > N(\omega)$ , we have  $i_{k+1} = i_k - 1$ .

Let  $\iota(L)$  and  $\varepsilon(L^*)$  be copies of L and  $L^*$ , with bases  $\{\iota(e_i) \mid i \in \mathbb{Z}\}$  and  $\{\varepsilon(e_i^*) \mid i \in \mathbb{Z}\}$ , respectively. For  $x \in L$  and  $y^* \in L^*$ , we denote by  $\iota(x)$  and  $\varepsilon(y^*)$  the corresponding elements in  $\iota(L)$  and  $\varepsilon(L^*)$ , respectively. Define a Lie superalgebra

$$cl(L) := \iota(L) \oplus \varepsilon(L^*) \oplus \mathbb{C}K$$

with  $\iota(L) \oplus \varepsilon(L^*)$  being odd (note that we assume that L is a Lie algebra, hence a purely even space), K being even, and with Lie superbracket: for  $x, y \in L$  and  $u^*, v^* \in L^*$ ,

$$[\iota(x),\iota(y)] = [\varepsilon(u^*),\varepsilon(v^*)] = 0, \quad [\iota(x),\varepsilon(u^*)] = \langle u^*, x \rangle K, \quad [K,cl(L)] = 0.$$

Note that cl(L) inherits a natural  $\mathbb{Z}$ -grading from L with

$$cl(L)_n = \begin{cases} \iota(L_n) \oplus \epsilon(L_n^*) & \text{if } n \neq 0, \\ \iota(L_0) \oplus \epsilon(L_0^*) \oplus \mathbb{C}K & \text{if } n = 0. \end{cases}$$

By the definition of  $L^*$ , we have  $\iota(e_i) \in cl(L)_n$  and  $\varepsilon(e_i^*) \in cl(L)_{-n}$  when  $e_i \in L_n$ . The Lie superalgebra cl(L) acts on  $\Lambda^{\infty/2+\bullet}L^*$  in the following way, K acts as identity, and for  $e_{i_0} \in L$ ,

$$\varepsilon(e_{i_0}^*) \cdot e_{i_1}^* \wedge e_{i_2}^* \wedge \dots = e_{i_0}^* \wedge e_{i_1}^* \wedge e_{i_2}^* \wedge \dots,$$
  
$$\iota(e_{i_0}) \cdot e_{i_1}^* \wedge e_{i_2}^* \wedge \dots = \sum_{k \ge 1} (-1)^{k-1} \langle e_{i_k}^*, e_{i_0} \rangle e_{i_1}^* \wedge \dots \wedge \hat{e}_{i_k}^* \wedge \dots.$$

The Clifford algebra  $Cl(L \oplus L^*)$  is defined to be the quotient of U(cl(L)) by the ideal generated by K - 1, and it also has a well-defined action on  $\Lambda^{\infty/2+\bullet}L^*$ .

For a subspace V of L, we let  $V^{\perp} = \{w^* \in L^* \mid \langle w^*, u \rangle = 0, \text{ for all } u \in V\}$ . Then  $L^{\perp}_{+} = \bigoplus_{n \ge 0} L^*_n$ . Let  $\omega_0 = e^*_0 \wedge e^*_{-1} \wedge e^*_{-2} \wedge \cdots$ . Then

$$\iota(v) \cdot \omega_0 = \varepsilon(u^*) \cdot \omega_0 = 0, \text{ for } v \in L_+ \text{ and } u^* \in L_+^\perp.$$
(3.5)

The elements  $\iota(v), \varepsilon(u^*)$  with  $v \in L_+$  and  $u^* \in L_+^{\perp}$  are called *annihilation operators*. Note that two annihilation operators always anticommute with each other. One can show that the space of semi-infinite forms  $\Lambda^{\infty/2+\bullet}L^*$  on L is the irreducible Fock module of  $Cl(L \oplus L^*)$  generated by the "vacuum" vector  $\omega_0$ , with relations defined by (3.5). Every element of  $\Lambda^{\infty/2+\bullet}L^*$  can be written as a linear combination of monomials of the form

$$\iota(e_{i_1})\cdots\iota(e_{i_s})\varepsilon(e_{j_1}^*)\cdots\varepsilon(e_{j_t}^*)\cdot\omega_0.$$

**Remark 3.2.7.** Note that (3.5) implies that  $cl(L)_n \cdot \omega_0 = 0$  for n > 0. In particular,  $\Lambda^{\infty/2+\bullet}L^*$  is a smooth cl(L)-module on which K acts as identity, and the action can be extended to  $U_1(cl(L))^{com} := U(cl(L))^{com}/(K-1)$ .

We want to define an L-action on  $\Lambda^{\infty/2+\bullet}L^*$  through that of cl(L). For the moment we just call it an action, but not necessarily a Lie algebra action. For  $x \in L_n$  with  $n \neq 0$ , we denote by  $\rho(x)$ , the action of x on  $\Lambda^{\infty/2+\bullet}L^*$  defined by

$$\rho(x) \cdot e_{i_1}^* \wedge e_{i_2}^* \wedge \dots := \sum_{k \ge 1} e_{i_1}^* \wedge \dots \wedge \operatorname{ad}^* x(e_{i_k}^*) \wedge \dots , \qquad (3.6)$$

where  $\operatorname{ad}^*$  is the coadjoint action of L on  $L^*$ . The above sum is finite, thanks to the definition of semiinfinite forms and the fact that  $x \in L_n$  for some  $n \neq 0$ . It is easy to verify the following relations (as operators on  $\Lambda^{\infty/2+\bullet}L^*$ ): for all  $y \in L, z^* \in L^*$ ,

$$[\rho(x),\iota(y)] = \iota(\operatorname{ad} x(y)), \qquad [\rho(x),\varepsilon(z^*)] = \varepsilon(\operatorname{ad}^* x(z^*)). \tag{3.7}$$

For  $x \in L_0$ , we cannot use (3.6) because it may involve an infinite sum. Let  $\omega_0 = e_0^* \wedge e_{-1}^* \wedge e_{-2}^* \wedge \cdots$ , and choose  $\beta \in L_0^*$ , considered as a function on L such that  $\beta(L_n) = 0$  for all  $n \neq 0$ . Define  $\rho(x) \cdot \omega_0 := \beta(x)\omega_0$ , and extend it to an action on  $\Lambda^{\infty/2+\bullet}L^*$  by requiring (3.7). This can be done because  $\Lambda^{\infty/2+\bullet}L^*$  is irreducible and generated by  $\omega_0$  as a module of the Clifford algebra  $Cl(L \oplus L^*)$ .

To give an explicit expression of the action  $\rho(x)$ , we define the *normal ordering* of two elements of  $\iota(L) \oplus \varepsilon(L^*)$  as follows,

$$:\iota(e_i)\iota(e_j):=\iota(e_i)\iota(e_j), :\varepsilon(e_i^*)\varepsilon(e_j^*):=\varepsilon(e_i^*)\varepsilon(e_j^*), \text{ for all } i,j\in\mathbb{Z},$$
$$-:\varepsilon(e_j^*)\iota(e_i):=:\iota(e_i)\varepsilon(e_j^*):=\begin{cases}\iota(e_i)\varepsilon(e_j^*) & \text{ if } i\neq j \text{ or } i=j\leq 0,\\ -\varepsilon(e_j^*)\iota(e_i) & \text{ if } i=j>0.\end{cases}$$

**Remark 3.2.8.** The idea of normal ordering is to make sure that annihilation operators always appear on the right side of a product. Given a product of multiple operators, for example,  $w = \iota(e_{i_1})\varepsilon(e_{j_1})\cdots\iota(e_{i_s})$ , the normal ordering : w : means that we should move the annihilation operators to the right side and then add the sign of the permutation for doing so.

Thanks to normal ordering, for all  $x \in L$ , the following elements are well-defined in  $U_1(cl(L))^{com}$ and we have

$$\sum_{i \in \mathbb{Z}} : \varepsilon(\mathrm{ad}^* x(e_i^*))\iota(e_i) := \sum_{i \in \mathbb{Z}} : \iota(\mathrm{ad}\, x(e_i))\varepsilon(e_i^*) : .$$

Let

$$\rho^{\beta}(x) := \sum_{i \in \mathbb{Z}} : \iota(\operatorname{ad} x(e_i))\varepsilon(e_i^*) : +\beta(x).$$
(3.8)

Then  $\rho^{\beta}(x)$  has a well-defined action on  $\Lambda^{\infty/2+\bullet}L^*$  as it is a smooth cl(L)-module. Moreover,  $\rho^{\beta}(x)$  satisfies (3.7), i.e., for  $y \in L$  and  $z^* \in L^*$ , we have

$$[\rho^{\beta}(x),\iota(y)] = \iota(\operatorname{ad} x(y)), \qquad [\rho^{\beta}(x),\varepsilon(z^{*})] = \varepsilon(\operatorname{ad}^{*}x(z^{*})). \tag{3.9}$$

**Lemma 3.2.9.** The operator  $\rho^{\beta}(x)$  realizes the action of  $\rho(x)$  on  $\Lambda^{\infty/2+\bullet}L^*$ .

*Proof.* Since both  $\rho^{\beta}(x)$  and  $\rho(x)$  satisfy (3.7), and  $\Lambda^{\infty/2+\bullet}L^*$  is generated by  $\omega_0 = e_0^* \wedge e_{-1}^* \wedge e_{-2}^* \wedge \cdots$  as a  $Cl(L \oplus L^*)$ -module, we only need to show that their actions on  $\omega_0$  coincide. For simplicity, we assume that  $x = e_{i_x}$ . By definition

$$\rho(e_{i_x}) \cdot \omega_0 = \begin{cases} \beta(e_{i_x})\omega_0 & \text{if } e_{i_x} \in L_0, \\ \sum_{k \ge 0} e_0^* \wedge \dots \wedge \operatorname{ad}^* e_{i_x}(e_{-k}^*) \wedge \dots & \text{if } e_{i_x} \in L_n \text{ and } n \ne 0. \end{cases}$$

Now let us calculate the action of  $\rho^{\beta}(e_{i_x})$  on  $\omega_0$ . When  $e_{i_x} \in L_0$ , there is an annihilation operator in each summand :  $\iota(\operatorname{ad} e_{i_x}(e_i))\varepsilon(e_i^*)$  : since  $[L_0, L_n] \subseteq L_n$ . Therefore, the sum  $\sum_i : \iota(\operatorname{ad} e_{i_x}(e_i))\varepsilon(e_i^*)$  : acts as zero on  $\omega_0$  and  $\rho^{\beta}(e_{i_x}) \cdot \omega_0 = \beta(e_{i_x})\omega_0$ . When  $e_{i_x} \in L_n$  for some  $n \neq 0$ , we have  $\beta(e_{i_x}) = 0$ . Moreover,  $\varepsilon(\operatorname{ad}^* e_{i_x}(e_i^*))$  always anticommutes with  $\iota(e_i)$  as  $[L_n, L_m] \subseteq L_{m+n}$ , so we can drop :: in  $\rho^{\beta}(e_{i_x})$ . Remember that  $\iota(e_i) \cdot \omega_0 = 0$  for all i > 0, so

$$\rho^{\beta}(e_{i_x}) \cdot \omega_0 = \sum_{i \le 0} \varepsilon (\operatorname{ad}^* e_{i_x}(e_i^*)) \cdot (-1)^i e_0^* \wedge \dots \wedge \hat{e}_i^* \wedge \dots$$
$$= \sum_{i \le 0} e_0^* \wedge \dots \wedge \operatorname{ad}^* e_{i_x}(e_i^*) \wedge \dots$$

One can show that the centers of the Clifford algebra  $Cl(L \oplus L^*)$  and its completion  $U_1(cl(L))^{com}$  are both trivial, i.e., they only contain the constants.

For  $x, y \in L$ , define

$$\gamma^{\beta}(x,y) := [\rho^{\beta}(x), \rho^{\beta}(y)] - \rho^{\beta}([x,y]).$$
(3.10)

It is clear that  $\Lambda^{\infty/2+\bullet}L^*$  admits an *L*-module structure under  $\rho^{\beta}(x)$  if and only if  $\gamma^{\beta}(x,y) = 0$  for all  $x, y \in L$ . One can show that  $\gamma^{\beta}(x,y)$  is central hence a constant in  $U_1(cl(L))^{com}$ . Indeed, it is a 2-cocycle, i.e.,

$$\gamma^{\beta}(x, [y, z]) + \gamma^{\beta}(y, [z, x]) + \gamma^{\beta}(z, [y, x]) = 0 \text{ for all } x, y, z \in L.$$

Moreover, one can show that  $\gamma^{\beta}(L_m, L_n) = 0$  whenever  $m + n \neq 0$  [Vor93].

**Definition 3.2.10.** We say that L admits a *semi-infinite structure* through  $\rho^{\beta}$  if  $\gamma^{\beta}(\cdot, \cdot) \equiv 0$ , i.e., if  $\Lambda^{\infty/2+\bullet}L^*$  is an L-module under the action  $\rho^{\beta}(x)$ . We say that L admits a semi-infinite structure if L admits a semi-infinite structure through  $\rho^{\beta}$  for some  $\beta \in L_0^*$ .

**Remark 3.2.11.** We can drop the restriction that  $\beta \in L_0^*$  for a more general definition. In Chapter 4, when we realize affine W-algebras as semi-infinite cohomology, we are in the more general case. But for the existence of a semi-infinite structure, the part which belongs to  $L_0^*$  is essential. For example, let  $\beta = \sum_i \beta_i \in L^*$  with  $\beta_i \in L_i^*$ . Then  $\rho^\beta$  gives L a semi-infinite structure if and only if  $\rho^{\beta_0}$  does and  $\partial \beta_i = 0$  for all  $i \neq 0$ . Here  $\partial \beta_i(x, y) := \beta_i([x, y])$  for  $x, y \in L$ .

**Example 3.2.12.** If L is abelian, it always admits a semi-infinite structure. When  $H^2(L, \mathbb{C}) = 0$ , every 2-cocycle is a coboundary. If  $\gamma^{\beta}(\cdot, \cdot) \neq 0$ , we can choose some  $\beta' \in L^*$  (by [Vor93], we can choose  $\beta' \in L_0^*$ ), such that  $\partial \beta' = \gamma^{\beta}(\cdot, \cdot)$ , then  $\rho^{\beta-\beta'}$  gives a semi-infinite structure for L. For example, affine Kac-Moody algebras and the Virasoro algebra admit semi-infinite structures.

Let  $\mathfrak{a}$  be a finite-dimensional Lie algebra. Recall that the affinization of  $\mathfrak{a}$  is the tensor product  $\hat{\mathfrak{a}} := \mathfrak{a} \otimes \mathbb{C}[t, t^{-1}]$  with Lie bracket:  $[a \otimes t^n, b \otimes t^m] = [a, b] \otimes t^{m+n}$  for all  $a, b \in \mathfrak{a}$  and  $m, n \in \mathbb{Z}$ , where  $\mathbb{C}[t, t^{-1}]$  is the ring of Laurent polynomials. It has a natural  $\mathbb{Z}$ -grading with  $\hat{\mathfrak{a}}_n := \mathfrak{a} \otimes t^n$ .

**Proposition 3.2.13.** Let n be a finite-dimensional nilpotent Lie algebra. Then  $\hat{n}$  admits a semi-infinite structure.

*Proof.* Let dim  $\mathfrak{n} = d$  and  $\mathcal{B} := \{e_i\}_{1 \le i \le d}$  be a basis of  $\mathfrak{n}$ , with structure constants  $\{c_{i,j}^k\}$  such that  $[e_i, e_j] = \sum_{k=1}^d c_{i,j}^k e_k$ . Since  $\mathfrak{n}$  is nilpotent, by Engel's theorem, we can choose the basis  $\mathcal{B}$ , such that  $c_{i,j}^k = 0$  for  $k \ge j$ . In the language of matrices, ad  $e_i \in \mathfrak{gl}(\mathfrak{n})$  with respect to  $\mathcal{B}$  are strictly upper triangular matrices for all i. In particular, we have  $c_{i,j}^j = 0$ . We fix such a basis  $\mathcal{B}$ , and let  $\{e_i^*\}_{1 \le i \le d}$  be the dual basis of  $\mathfrak{n}^*$ . Identify the restricted dual  $\hat{\mathfrak{n}}^*$  of  $\hat{\mathfrak{n}}$  with  $\mathfrak{n}^* \otimes \mathbb{C}[t, t^{-1}]$  through the pairing  $\langle e_j^* \otimes t^m, e_i \otimes t^n \rangle = \delta_{n,-m} \delta_{i,j}$ . For convenience, we denote by  $e_{i,n} := e_i \otimes t^n$  and  $e_{i,n}^* := e_i^* \otimes t^{-n}$ . Then  $\{e_{i,n}\}$  and  $\{e_{i,n}^*\}$  form dual bases of  $\hat{\mathfrak{n}}$  and  $\hat{\mathfrak{n}}^*$ , respectively. The adjoint action gives

ad 
$$e_{i,n}(e_{j,m}) = [e_{i,n}, e_{j,m}] = [e_i, e_j] \otimes t^{m+n} = \sum_{k=1}^d c_{i,j}^k e_{k,m+n}$$
.

For the coadjoint action, we have  $\operatorname{ad}^* e_{i,n}(e_{j,m}^*) = \sum_{k=1}^d c_{k,i}^j e_{k,m-n}^*$ .

Let

$$\rho^{0}(x) = \sum_{\substack{i=1,\cdots,d,\\n\in\mathbb{Z}}} : \iota(\operatorname{ad} x(e_{i,n}))\varepsilon(e_{i,n}^{*}) : .$$

We show that  $\gamma^0(x, y) := [\rho^0(x), \rho^0(y)] - \rho^0([x, y]) = 0$  for all  $x, y \in \hat{\mathfrak{n}}$ , i.e.,  $\hat{\mathfrak{n}}$  admits a semi-infinite structure through  $\rho^0$ .

For simplicity, assume that  $x = e_{i_x, n_x}$  and  $y = e_{i_y, n_y}$ . Since  $\iota(\operatorname{ad} x(e_{i,n}))$  anticommutes with  $\varepsilon(e_{i,n}^*)$ 

by the choice of basis of  $\mathfrak{n},$  we can drop the normal ordering :: in  $\rho^0(x),$  so we have

$$\begin{split} [\rho^0(e_{i_x,n_x}),\rho^0(e_{i_y,n_y})] \\ &= \sum_{\substack{i,j=1,\cdots,d,\\m,n\in\mathbb{Z}}} \left[\iota(\operatorname{ad} e_{i_x,n_x}(e_{i,n}))\varepsilon(e_{i,n}^*),\,\varepsilon(\operatorname{ad}^*e_{i_y,n_y}(e_{j,m}^*))\iota(e_{j,m})\right] \\ &= A+B, \end{split}$$

where

$$\begin{split} A &= \sum_{\substack{i,j=1,\cdots,d,\\m,n\in\mathbb{Z}}} \iota(\operatorname{ad} e_{i_x,n_x}(e_{i,n})) \left[\varepsilon(e_{i,n}^*),\varepsilon(\operatorname{ad}^* e_{i_y,n_y}(e_{j,m}^*))\iota(e_{j,m})\right],\\ B &= \sum_{\substack{i,j=1,\cdots,d,\\m,n\in\mathbb{Z}}} \left[\iota(\operatorname{ad} e_{i_x,n_x}(e_{i,n})),\varepsilon(\operatorname{ad}^* e_{i_y,n_y}(e_{j,m}^*))\iota(e_{j,m})\right]\varepsilon(e_{i,n}^*). \end{split}$$

Note that

$$A = -\sum_{\substack{i=1,\cdots,d,\\n\in\mathbb{Z}}} \iota(\operatorname{ad} e_{i_x,n_x}(e_{i,n}))\varepsilon(\operatorname{ad}^* e_{i_y,n_y}(e_{i,n}^*))$$
$$= -\sum_{\substack{i,j,k=1,\cdots,d,\\n\in\mathbb{Z}}} c_{i_x,i}^j c_{k,i_y}^i \iota(e_{j,n+n_x})\varepsilon(e_{k,n-n_y}^*),$$

and

$$B = \sum_{\substack{i,j=1,\cdots,d,\\m,n\in\mathbb{Z}}} \langle \operatorname{ad}^* e_{i_y,n_y}(e_{j,m}^*), \operatorname{ad} e_{i_x,n_x}(e_{i,n}) \rangle \iota(e_{j,m}) \varepsilon(e_{i,n}^*) \rangle$$
$$= \sum_{\substack{i,j,k=1,\cdots,d,\\n\in\mathbb{Z}}} c_{k,i_y}^j c_{i_x,i}^k \iota(e_{j,n+n_x+n_y}) \varepsilon(e_{i,n}^*) \rangle$$
$$= \sum_{\substack{i,j,k=1,\cdots,d,\\m\in\mathbb{Z}}} c_{k,i_y}^j c_{i_x,i}^k \iota(e_{j,m+n_x}) \varepsilon(e_{i,m-n_y}^*).$$

Similarly, we have

$$\rho^{0}([e_{i_{x},n_{x}}, e_{i_{y},n_{y}}]) = \sum_{\substack{i=1,\dots,d,\\n\in\mathbb{Z}}} \iota(\operatorname{ad} [e_{i_{x},n_{x}}, e_{i_{y},n_{y}}](e_{i,n}))\varepsilon(e_{i,n}^{*})$$
$$= \sum_{\substack{i,j,k=1,\dots,d,\\n\in\mathbb{Z}}} c_{i_{x},i_{y}}^{j} c_{j,i}^{k} \iota(e_{k,n+n_{x}+n_{y}})\varepsilon(e_{i,n}^{*})$$
$$= \sum_{\substack{i,j,k=1,\dots,d,\\m\in\mathbb{Z}}} c_{i_{x},i_{y}}^{j} c_{j,i}^{k} \iota(e_{k,m+n_{x}})\varepsilon(e_{i,m-n_{y}}^{*}).$$

Now  $[\rho^0(x), \rho^0(y)] - \rho^0([x, y]) = 0$  comes from the Jacobi identity of the structure constants,

$$-\sum_{i} c_{i_{x},i}^{j} c_{k,i_{y}}^{i} + \sum_{i} c_{i,i_{y}}^{j} c_{i_{x},k}^{i} = \sum_{i} c_{i_{x},i_{y}}^{i} c_{i,k}^{j}.$$

#### 3.2.2 Semi-infinite cohomology

In this subsection, we assume that L is a quasi-finite  $\mathbb{Z}$ -graded Lie algebra admitting a semi-infinite structure through  $\rho^{\beta}$  defined by (3.8), i.e.,  $\gamma^{\beta}(\cdot, \cdot) \equiv 0$  and the map  $\rho^{\beta} : L \to U_1(cl(L))^{com}$  defined by  $x \mapsto \rho^{\beta}(x)$  is a Lie algebra homomorphism, which gives  $\Lambda^{\infty/2+\bullet}L^*$  an L-module structure.

Let  $\theta^{\beta}: L \to U(L) \otimes U_1(cl(L))^{com}$  be the map defined by

$$\theta^{\beta}(x) := x + \rho^{\beta}(x). \tag{3.11}$$

**Remark 3.2.14.** Note that we omitted the tensor product  $\otimes$  in (3.11), so  $\theta^{\beta}(x) = x \otimes 1 + 1 \otimes \rho^{\beta}(x)$ . We will use the same notation in the sequel.

The map  $\theta^{\beta}$  is obviously a Lie algebra homomorphism. Let M be a smooth L-module. Then the tensor product  $M \otimes \Lambda^{\infty/2+\bullet}L^*$  is naturally a  $U(L) \otimes U_1(cl(L))^{com}$ -module hence a smooth L-module under the action  $\theta^{\beta}(x)$ . Since x commutes with  $\iota(L)$  and  $\varepsilon(L^*)$ , we have: for all  $y \in L, z^* \in L^*$ ,

$$[\theta^{\beta}(x),\iota(y)] = \iota([x,y]), \qquad [\theta^{\beta}(x),\varepsilon(z^*)] = \varepsilon(\mathrm{ad}^*x(z^*)).$$

Let

$$d^{\beta} = \sum_{i \in \mathbb{Z}} e_i \varepsilon(e_i^*) - \sum_{i < j} : \iota([e_i, e_j]) \varepsilon(e_i^*) \varepsilon(e_j^*) : + \varepsilon(\beta)$$
$$= \sum_{i \in \mathbb{Z}} e_i \varepsilon(e_i^*) - \frac{1}{2} \sum_{i, j \in \mathbb{Z}} : \iota([e_i, e_j]) \varepsilon(e_i^*) \varepsilon(e_j^*) : + \varepsilon(\beta).$$
(3.12)

Then  $d^{\beta} \in U(L)^{com} \otimes U_1(cl(L))^{com}$  has a well-defined action on  $M \otimes \Lambda^{\infty/2+\bullet}L^*$ .

**Lemma 3.2.15.** We have  $[d^{\beta}, \iota(x)] = \theta^{\beta}(x)$  for all  $x \in L$ .

*Proof.* For simplicity, we assume that  $x = e_k$  for some  $k \in \mathbb{Z}$ . Then

$$\left[\sum_{i\in\mathbb{Z}}e_i\varepsilon(e_i^*)+\varepsilon(\beta),\iota(e_k)\right]=e_k+\beta(e_k),$$

and

$$\begin{bmatrix} -\sum_{i < j} : \iota([e_i, e_j])\varepsilon(e_i^*)\varepsilon(e_j^*) :, \iota(e_k) \end{bmatrix}$$
$$= -\sum_{i < k} : \iota([e_i, e_k])\varepsilon(e_i^*) : +\sum_{k < j} : \iota([e_k, e_j])\varepsilon(e_j^*) :$$
$$= \sum_{i \in \mathbb{Z}} : \iota(\operatorname{ad} e_k(e_i))\varepsilon(e_i^*) :.$$

Therefore, we have  $[d^{\beta}, \iota(e_k)] = \theta^{\beta}(e_k)$ .

We define a charge grading on cl(L) by setting

$$-\operatorname{cdeg} \iota(x) = \operatorname{cdeg} \varepsilon(y^*) = 1 \quad \text{for } x \in L, y^* \in L^*, \quad \text{and} \quad \operatorname{cdeg} K = 0.$$
 (3.13)

When we refer to the charge gradation, we will add the superscript \*. We have

$$cl(L) = cl(L)^*_{-1} \oplus cl(L)^*_0 \oplus cl(L)^*_1$$

with  $cl(L)_1^* = \varepsilon(L^*), cl(L)_0^* = \mathbb{C}K$  and  $cl(L)_{-1}^* = \iota(L)$ . This induces a charge gradation on U(cl(L)) and also on the Clifford algebra  $Cl(L \oplus L^*)$ . As a simple module of  $Cl(L \oplus L^*)$ , the space of semi-infinite forms  $\Lambda^{\infty/2+\bullet}L^*$  inherits a charge gradation if we set  $cdeg \omega_0 = 0$ , with

$$\Lambda^{\infty/2+n}L^* := (\Lambda^{\infty/2+\bullet}L^*)_n^* = \operatorname{span}_{\mathbb{C}}\{\iota(e_{i_1})\cdots\iota(e_{i_s})\varepsilon(e_{j_1}^*)\cdots\varepsilon(e_{j_t}^*)\cdot\omega_0 \mid t-s=n\}.$$

With respect to the charge gradation, the operator  $\rho^{\beta}(x)$  is of degree zero for all  $x \in L$ , so each component  $\Lambda^{\infty/2+n}L^*$  is an *L*-submodule. If we define the charge degree of *M* to be zero, then  $d^{\beta}$  is a charge degree 1 operator on  $M \otimes \Lambda^{\infty/2+\bullet}L^*$ .

**Proposition 3.2.16** ([Vor93], Proposition 2.6). *The operator*  $d^{\beta}$  *does not depend on the choice of basis of* L*, and*  $(d^{\beta})^2 = 0$ .

**Definition 3.2.17.** The complex  $(M \otimes \Lambda^{\infty/2+\bullet}L^*, d^\beta)$  is called the *Feigin standard complex* and its cohomology  $H^{\infty/2+\bullet}(L, \beta, M)$  the *semi-infinite cohomology* of L with coefficients in M. When  $\beta = 0$ , we write just as  $H^{\infty/2+\bullet}(L, M)$ .

**Remark 3.2.18.** There is an interesting characterization of the differential  $d^{\beta}$  in [Akm93] and in [Ara17] for affine W-algebras in the principal nilpotent cases, which can be realized as a semi-infinite cohomology. To contrast with our adjusted version in the next section, we will also call the cohomology in Definition 3.2.17 ordinary semi-infinite cohomology.

We write  $\beta$  in the cohomology because it plays some role. Indeed, if  $\rho^{\beta'}$  gives another semi-infinite structure, one can show that  $(\beta - \beta')([L, L]) = 0$ , so  $\beta - \beta'$  defines a 1-dimensional module  $\mathbb{C}_{\beta - \beta'}$  of L, on which  $x \in L$  acts as  $(\beta - \beta')(x)$ .

**Proposition 3.2.19** ([Vor93], Proposition 2.7). If both  $\rho^{\beta}$  and  $\rho^{\beta'}$  give semi-infinite structures on L, then

$$H^{\infty/2+\bullet}(L,\beta,M) \cong H^{\infty/2+\bullet}(L,\beta',M \otimes \mathbb{C}_{\beta-\beta'}).$$

## **3.3** An adjustment when the 2-cocycle $\gamma^{\beta}(\cdot, \cdot)$ is not identically zero

Recall the notation in the previous section. We assume that  $\gamma^{\beta}(\cdot, \cdot)$  is not identically zero in this section, i.e.,  $\rho^{\beta}$  does not give a semi-infinite structure on L.

#### **3.3.1** What is the problem

Let  $d^{\beta}$  be the operator defined by (3.12) and let us consider the value  $[[(d^{\beta})^2, \iota(x)], \iota(y)]$  for  $x, y \in L$ . Since  $d^{\beta}$  is odd, we have  $(d^{\beta})^2 = \frac{1}{2}[d^{\beta}, d^{\beta}]$ , hence  $[(d^{\beta})^2, \iota(x)] = [d^{\beta}, [d^{\beta}, \iota(x)]]$ . By Lemma 3.2.15, we have  $[d^{\beta}, \iota(x)] = \theta^{\beta}(x)$  (though we assume that  $\gamma^{\beta}(\cdot, \cdot) \equiv 0$  in that section, the calculations in Lemma 3.2.15 still hold), so

$$\begin{split} \left[ \left[ (d^{\beta})^{2}, \iota(x) \right], \iota(y) \right] &= \left[ [d^{\beta}, \theta^{\beta}(x)], \iota(y) \right] \\ &= \left[ d^{\beta}, \left[ \theta^{\beta}(x), \iota(y) \right] \right] + \left[ [d^{\beta}, \iota(y)], \theta^{\beta}(x) \right] \\ &= \left[ d^{\beta}, \iota([x, y]) \right] + \left[ \theta^{\beta}(y), \theta^{\beta}(x) \right] \\ &= \theta^{\beta}([x, y]) - \left[ \theta^{\beta}(x), \theta^{\beta}(y) \right] \\ &= -\gamma^{\beta}(x, y). \end{split}$$
(3.14)

In particular, the operator  $d^{\beta}$  is not of square zero if  $\gamma^{\beta}(\cdot, \cdot)$  is not identically zero.

Let ker  $\gamma^{\beta} := \{x \in L \mid \gamma(x,L) \equiv 0\}$  be the radical of the 2-cocycle  $\gamma^{\beta}(\cdot, \cdot)$ . Then ker  $\gamma^{\beta}$  is obviously a graded subalgebra of L. Let us choose a graded complement of ker  $\gamma^{\beta}$  in L, which we denote by  $F_{\beta}$ . Then  $L = \ker \gamma^{\beta} \oplus F_{\beta}$ , and  $\gamma^{\beta}(\cdot, \cdot)$  is non-degenerate on  $F_{\beta}$ . Let  $\epsilon(F_{\beta})$  be a copy of  $F_{\beta}$ . For  $x \in L$ , we use  $\epsilon(x)$  to denote its projection in  $F_{\beta}$  but considered as an element of  $\epsilon(F_{\beta})$ . Then  $\epsilon(\ker \gamma^{\beta}) = 0$ .

Consider the Lie superalgebra

$$c(L) := \iota(L) \oplus \varepsilon(L^*) \oplus \mathbb{C}K \oplus \epsilon(F_\beta),$$

which contains cl(L) as a subalgebra. By definition, the subspace  $\epsilon(F_{\beta})$  is even, commutes with cl(L), and has bracket:  $[\epsilon(x), \epsilon(y)] = -\gamma^{\beta}(x, y)K$  for  $x, y \in F_{\beta}$ . Since  $F_{\beta}$  is a graded subspace of L, the subalgebra  $\epsilon(F_{\beta}) \oplus \mathbb{C}K$  is  $\mathbb{Z}$ -graded with

$$\left(\epsilon(F_{\beta}) \oplus \mathbb{C}K\right)_{n} = \begin{cases} \epsilon((F_{\beta})_{n}) & \text{if } n \neq 0, \\ \epsilon((F_{\beta})_{0}) \oplus \mathbb{C}K & \text{if } n = 0. \end{cases}$$

The subspace  $\epsilon(F_{\beta})_{+} := (\bigoplus_{n>0} \epsilon(F_{\beta})_{n}) \oplus \mathbb{C}K$  is an abelian subalgebra, thanks to the property that  $\gamma^{\beta}(L_{m}, L_{n}) \equiv 0$  if  $m + n \neq 0$ . Let  $\mathbb{C}$  be the 1-dimensional module of this abelian subalgebra on which  $\bigoplus_{n>0} \epsilon(F_{\beta})_{n}$  acts as zero and K acts as the identity. We call the induced module

$$\mathfrak{F}_{\beta} = \operatorname{Ind}_{\epsilon(F_{\beta})_{+}}^{\epsilon(F_{\beta}) \oplus \mathbb{C}K} \mathbb{C}$$
(3.15)

the *Fock representation* of  $\epsilon(F_{\beta}) \oplus \mathbb{C}K$ , which is obviously smooth. Remember that  $\Lambda^{\infty/2+\bullet}L^*$  is a smooth cl(L)-module on which K also acts as identity, so  $\Lambda^{\infty/2+\bullet}L^* \otimes \mathfrak{F}_{\beta}$  is a smooth c(L)-module.

Let 
$$U_1(c(L))^{com} := U(c(L))^{com}/(K-1)$$
, and define a map  $\bar{\rho}^{\beta} : L \to U_1(c(L))^{com}$  by

$$\bar{\rho}^{\beta}(x) := \rho^{\beta}(x) + \epsilon(x).$$

Then  $\bar{\rho}^{\beta}(x)$  has a well-defined action on  $\Lambda^{\infty/2+\bullet}L^*\otimes\mathfrak{F}_{\beta}$ , and for  $x, y \in L, z^* \in L^*$ , we have

$$[\bar{\rho}^{\beta}(x),\iota(y)] = \iota([x,y]), \quad [\bar{\rho}^{\beta}(x),\varepsilon(z^*)] = \varepsilon(\mathrm{ad}^*x(z^*)), \quad [\bar{\rho}^{\beta}(x),\epsilon(y)] = -\gamma^{\beta}(x,y). \tag{3.16}$$

Let  $s(L) = L \oplus c(L)$  be the direct sum of L and c(L). Then s(L) inherits a natural  $\mathbb{Z}$ -grading from L and c(L). Let

$$U_1(s(L))^{com} := U(s(L))^{com}/(K-1) \cong U(L)^{com} \otimes U_1(c(L))^{com},$$

and

$$\bar{\theta}^{\beta}(x) = x + \bar{\rho}^{\beta}(x) \in U_1(s(L))^{com}.$$
(3.17)

Let M be a smooth L-module. Then  $\bar{\theta}^{\beta}(x)$  has a well-defined action on  $M \otimes \Lambda^{\infty/2+\bullet}L^* \otimes \mathfrak{F}_{\beta}$ . We have  $[\bar{\theta}^{\beta}(x), y] = [x, y]$  for all  $x, y \in L$ , moreover,

$$[\bar{\theta}^{\beta}(x),\iota(y)] = \iota([x,y]), \quad [\bar{\theta}^{\beta}(x),\varepsilon(z^*)] = \varepsilon(\mathrm{ad}^*x(z^*)), \quad [\bar{\theta}^{\beta}(x),\epsilon(y)] = -\gamma^{\beta}(x,y). \tag{3.18}$$

**Lemma 3.3.1.** The map  $\bar{\rho}^{\beta} : L \longrightarrow U_1(c(L))^{com}$  is a Lie algebra homomorphism if  $[L, L] \subseteq \ker \gamma^{\beta}$ .

*Proof.* We need to prove  $\bar{\rho}^{\beta}([x,y]) = [\bar{\rho}^{\beta}(x), \bar{\rho}^{\beta}(y)]$  for all  $x, y \in L$ . But we have

$$\begin{split} [\bar{\rho}^{\beta}(x), \bar{\rho}^{\beta}(y)] &= [\rho^{\beta}(x) + \epsilon(x), \rho^{\beta}(y) + \epsilon(y)] \\ &= [\rho^{\beta}(x), \rho^{\beta}(y)] + [\epsilon(x), \epsilon(y)] \\ &= \rho^{\beta}([x, y]) + \gamma^{\beta}(x, y) - \gamma^{\beta}(x, y) \\ &= \rho^{\beta}([x, y]) \end{split}$$

and  $\bar{\rho}^{\beta}([x,y]) = \rho^{\beta}([x,y])$  if  $\epsilon([x,y]) \equiv 0$ , i.e., if  $[L,L] \subseteq \ker \gamma^{\beta}$ .

**Remark 3.3.2.** Lemma 3.3.1 tells us that even though  $\Lambda^{\infty/2+\bullet}L^*$  is not an L-module under the action  $\rho^{\beta}(x)$ , the tensor product  $\Lambda^{\infty/2+\bullet}L^* \otimes \mathfrak{F}_{\beta}$  is under  $\bar{\rho}^{\beta}(x)$ .

Assumption: From now on, we assume that  $[L, L] \subseteq \ker \gamma^{\beta}$  is satisfied.

#### 3.3.2 Construction and characterization of a square zero differential

We extend the charge gradation (see (3.13)) on cl(L) to c(L) by setting  $cdeg \ \epsilon(F_{\beta}) = 0$ , and then to s(L) by setting  $cdeg \ L = 0$ . As usual, we denote the charge gradation by adding a superscript \*. These charge gradations induce another  $\mathbb{Z}$ -grading on their universal enveloping algebras, which are different from those induced from the quasi-finite  $\mathbb{Z}$ -grading. At the module level, if we set  $cdeg \ M = cdeg \ \mathfrak{F}_{\beta} = 0$  for a smooth L-module M, and the charge gradation on  $\Lambda^{\infty/2+\bullet}L^*$  as before, then  $\Lambda^{\infty/2+\bullet}L^* \otimes \mathfrak{F}_{\beta}$  is a  $\mathbb{Z}$ -graded c(L)-module and  $M \otimes \Lambda^{\infty/2+\bullet}L^* \otimes \mathfrak{F}_{\beta}$  a  $\mathbb{Z}$ -graded s(L)-module under the charge gradations.

Let 
$$i_c : c(L) \hookrightarrow U_1(c(L))^{com}$$
 and  $i_s : s(L) \hookrightarrow U_1(s(L))^{com}$  be the canonical inclusions.

**Definition 3.3.3.** A superderivation D with respect to  $i_c$  or  $i_s$ , is said to be of *charge degree* N if  $D(c(L)_n^*) \subseteq U_1(c(L))_{n+N}^{com,*}$  or  $D(s(L)_n^*) \subseteq U_1(s(L))_{n+N}^{com,*}$ , respectively. A superderivation D of c(L) or of s(L) is said to be of *charge degree* N if  $D(c(L)_n^*) \subseteq c(L)_{n+N}^*$  or  $D(s(L)_n^*) \subseteq s(L)_{n+N}^*$ , respectively.

Define an action of L on c(L) as follows. For  $x, y \in L, z \in L^*$ ,

$$x \cdot \iota(y) = \iota([x, y]), \quad x \cdot \varepsilon(z^*) = \varepsilon(\operatorname{ad}^* x(z^*)), \quad x \cdot \epsilon(y) = -\gamma^{\beta}(x, y)K, \quad x \cdot K = 0.$$

We extend this action to s(L) by letting L act on itself by the adjoint action.

**Lemma 3.3.4.** The actions of  $x \in L$  on c(L) and s(L) are even derivations of charge degree zero.

*Proof.* This can be verified by direct calculations, as we know explicitly both the Lie brackets of c(L), s(L) and the actions of L on them. They are obviously of charge degree zero.

**Remark 3.3.5.** The actions of x on c(L) and s(L) induce even derivations of charge degree zero on  $U_1(c(L))^{com}$  and  $U_1(s(L))^{com}$ , respectively. The inner derivations  $[\bar{\rho}^{\beta}(x), \cdot]$  and  $[\bar{\theta}^{\beta}(x), \cdot]$  realize the actions of x on  $U_1(c(L))^{com}$  and  $U_1(s(L))^{com}$ , respectively, by (3.16) and (3.18).

**Lemma 3.3.6.** Let  $u \in U_1(s(L))^{com}$  be a charge degree  $\geq 1$  element. Then  $[u, \iota(x)] = 0$  for all  $x \in L$  only if u = 0.

*Proof.* As  $cdeg u \ge 1$ , if u is not zero, we can write

 $u = w\varepsilon(e_k^*) + v$  or  $u = \varepsilon(e_k^*)w + v$ 

for some  $k \in \mathbb{Z}$  with  $w, v \in U_1(s(L))^{com}$  and  $w \neq 0$ , such that  $\varepsilon(e_k^*)$  does not appear in w or v, i.e.,

$$[w,\iota(e_k)] = [v,\iota(e_k)] = 0$$

Then  $[u, \iota(e_k)] = w \neq 0$  gives a contradiction.

**Lemma 3.3.7.** Let D be a superderivation of charge degree  $\geq 1$  with respect to  $i_s : s(L) \hookrightarrow U_1(s(L))^{com}$ , and suppose that D kills K. Then D is determined by its value on  $\iota(L)$ .

*Proof.* Since s(L) is generated by  $L \oplus \iota(L) \oplus \varepsilon(L^*) \oplus \epsilon(F_\beta)$ , we just need to show that the value of D on  $L \oplus \varepsilon(L^*) \oplus \epsilon(F_\beta)$  is determined by its value on  $\iota(L)$ . Let D' be another superderivation, such that D' kills K and coincide with D on  $\iota(L)$ . We show that D = D'. Since D - D' is also a superderivation, we have

$$(D - D')[u, v] = [(D - D')u, v] + (-1)^{i \cdot p(u)}[u, (D - D')v]$$
(3.19)

for all  $u, v \in s(L)$ , where *i* is the parity of *D* and *D'*.

Note that  $[s(L), \iota(L)] \subseteq \mathbb{C}K$  and  $(D - D')K = (D - D')\iota(L) = 0$ . Let  $u \in s(L), v = \iota(x) \in \iota(L)$  in (3.19). Then we have

$$[(D - D')u, \iota(x)] = 0.$$
(3.20)

If  $u \in \iota(L)$ , then (D - D')u = 0. If  $u \in L \oplus \epsilon(F_{\beta}) \oplus \varepsilon(L^*)$ , then note that  $\operatorname{cdeg} (D - D')u \ge 1$  when  $u \in L \oplus \epsilon(F_{\beta})$ , and  $\operatorname{cdeg} (D - D')u \ge 2$  when  $u \in \varepsilon(L^*)$ . Since (3.20) holds for all  $\iota(x) \in \iota(L)$ , Lemma 3.3.6 ensures that (D - D')u = 0, i.e., D = D' on s(L).

**Remark 3.3.8.** An equivalent statement of Lemma 3.3.7 is, given a charge degree  $\geq 1$  superderivation with respect to the inclusion  $i_{\iota(L)} : \iota(L) \to U_1(s(L))^{com}$ , we can extend it to be a superderivation of the same charge degree with respect to the inclusion  $i_s : s(L) \to U_1(s(L))^{com}$  in a unique way.

Recall that  $\bar{\theta}^{\beta}(x)$  defined by (3.17) is even and satisfies (3.18), in particular, we have

$$[\bar{\theta}^{\beta}(x),\iota(y)] - [\iota(x),\bar{\theta}^{\beta}(y)] = \iota([x,y]) + \iota([y,x]) = 0.$$

As  $\iota(L)$  is an abelian subalgebra of s(L), the map  $D : \iota(L) \to U_1(s(L))^{com}$  sending  $\iota(x)$  to  $\bar{\theta}^{\beta}(x)$  is an odd superderivation of charge degree 1 with respect to  $i_{\iota(L)}$ , so it can be extended to be a superderivation with respect to  $i_s$  in a unique way.

Let

$$\bar{d}^{\beta} = d^{\beta} + \sum_{i \in \mathbb{Z}} \varepsilon(e_i^*) \epsilon(e_i).$$
(3.21)

**Theorem 3.3.9.** The element  $\bar{d}^{\beta}$  defined by (3.21) is the unique element in  $U_1(s(L))^{com}$  of charge degree 1, such that  $[\bar{d}^{\beta}, \iota(x)] = \bar{\theta}^{\beta}(x)$  for all  $x \in L$ , and we have  $(\bar{d}^{\beta})^2 = 0$ .

*Proof.* By Lemma 3.2.15, we already have  $[d^{\beta}, \iota(x)] = \theta^{\beta}(x)$ , so we only need to show that

$$\sum_{i \in \mathbb{Z}} [\varepsilon(e_i^*) \epsilon(e_i), \iota(x)] = \epsilon(x).$$

This is obvious for  $x = e_k$  hence true for all  $x \in L$ . The uniqueness is by Lemma 3.3.6.

The operators  $[(\bar{d}^{\beta})^2, \cdot]$  and  $[[(\bar{d}^{\beta})^2, \iota(x)], \cdot]$  are derivations of charge degree 2 and 1, respectively, if they are non-zero. By Lemma 3.3.7, they are completely determined by their value on  $\iota(L)$ . Recall the calculations in (3.14). Since  $[L, L] \subseteq \ker \gamma^{\beta}$  and  $[\bar{d}^{\beta}, \iota(x)] = \bar{\theta}^{\beta}(x)$ , we have

$$\begin{split} [[(\bar{d}^{\beta})^2, \iota(x)], \iota(y)] &= \bar{\theta}^{\beta}([x, y]) - [\bar{\theta}^{\beta}(x), \bar{\theta}^{\beta}(y)] \\ &= \rho^{\beta}([x, y]) + [x, y] - [\rho^{\beta}(x) + x + \epsilon(x), \rho^{\beta}(y) + y + \epsilon(y)] \\ &= \rho^{\beta}([x, y]) - [\rho^{\beta}(x), \rho^{\beta}(y)] + \gamma^{\beta}(x, y) \\ &= 0, \end{split}$$

for  $x, y \in L$ . Lemma 3.3.6 then implies that  $[(\bar{d}^{\beta})^2, \iota(x)] = 0$  for all  $x \in L$  hence  $(\bar{d}^{\beta})^2 = 0$ .  $\Box$ 

**Definition 3.3.10.** We call the complex  $(M \otimes \Lambda^{\infty/2+\bullet}L^* \otimes \mathfrak{F}_{\beta}, \bar{d}^{\beta})$  the *adjusted Feigin complex* with respect to  $\beta$ , and its cohomology  $H_a^{\infty/2+\bullet}(L, \beta, M)$  the *adjusted semi-infinite cohomology* of L with coefficients in M, with respect to  $\beta$ .

**Remark 3.3.11.** Note that we used a subscript "a" in the adjusted semi-infinite cohomology.

#### **3.3.3** Comparison with ordinary semi-infinite cohomology

Our adjustment sometimes gives nothing new but ordinary semi-infinite cohomology with coefficients in another module. Assume that  $\rho^{\beta}(x)$  gives a semi-infinite structure on L, and  $\beta' \in \bigoplus_{n\geq 0} L_n^*$ is a 1-cochain<sup>1</sup> such that  $\partial \beta' \neq 0$  but  $\partial \beta'([L, L], L) = 0$ , where  $\partial \beta'(x, y) = \beta'([x, y])$ . Then  $\gamma^{\beta+\beta'} = -\partial \beta' \neq 0$  and  $[L, L] \subseteq \ker \gamma^{\beta+\beta'}$ . We can therefore talk about adjusted semi-infinite cohomology of L with coefficients in a smooth module M with respect to  $\beta + \beta'$ , which is the cohomology of the complex  $(M \otimes \Lambda^{\infty/2+\bullet} \otimes \mathfrak{F}_{\beta+\beta'}, \overline{d}^{\beta+\beta'})$ .

Recall that

$$\bar{d}^{\beta+\beta'} = \sum_{i\in\mathbb{Z}} e_i \varepsilon(e_i^*) - \frac{1}{2} \sum_{i,j\in\mathbb{Z}} : \iota([e_i, e_j])\varepsilon(e_i^*)\varepsilon(e_j^*) : +\varepsilon(\beta+\beta') + \sum_{i\in\mathbb{Z}} \varepsilon(e_i^*)\varepsilon(e_i)$$
$$= \sum_{i\in\mathbb{Z}} \varepsilon(e_i^*)(e_i + \beta'(e_i) + \epsilon(e_i)) - \frac{1}{2} \sum_{i,j\in\mathbb{Z}} : \iota([e_i, e_j])\varepsilon(e_i^*)\varepsilon(e_j^*) : +\varepsilon(\beta),$$

and

$$[\bar{d}^{\beta+\beta'},\iota(x)] = x + \beta'(x) + \epsilon(x) + \rho^{\beta}(x).$$

On the other hand, since  $[\epsilon(x), \epsilon(y)] = -\gamma^{\beta+\beta'}(x, y) = \beta'([x, y])$  and  $\epsilon([x, y]) \equiv 0$ , we have

$$[x + \beta'(x) + \epsilon(x), y + \beta'(y) + \epsilon(y)] = [x, y] + \beta'([x, y])$$

that is,  $M \otimes \mathfrak{F}_{\beta+\beta'}$  is an *L*-module under the action  $x + \beta'(x) + \epsilon(x)$ , and it is smooth. Therefore, we have the following theorem.

**Theorem 3.3.12.** Let  $\beta$ ,  $\beta'$  be as above. Then

$$H_a^{\infty/2+\bullet}(L,\beta+\beta',M) \cong H^{\infty/2+\bullet}(L,\beta,M\otimes\mathfrak{F}_{\beta+\beta'}).$$

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<sup>&</sup>lt;sup>1</sup>We require that  $\beta' \in \bigoplus_{n \ge 0} L_n^*$  to make sure that in the construction of  $\mathfrak{F}_{\beta+\beta'}$  defined by (3.15), the subalgebra  $\epsilon(F_{\beta+\beta'})_+$  is abelian so everything there still works.